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IN RE APPLICATION OF: David MOTTIER, et al.

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SERIAL NO: 10/815,724

EXAMINER:

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FOR: METHOD FOR EFFICIENT EQUALIZATION IN A TELECOMMUNICATION SYSTEM INCLUDING
AT LEAST ONE MOBILE TRANSCEIVER

REQUEST FOR PRIORITY

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date(s) of U.S. Provisional Application(s) is claimed pursuant to the provisions of 35 U.S.C. §119(e):
Application No. Date Filed

- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

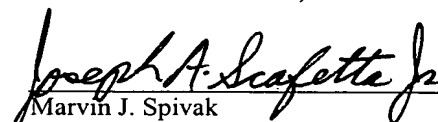
In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
EPC	03290844.4	April 4, 2003

Certified copies of the corresponding Convention Application(s)

- ☒ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
- ☐ (B) Application Serial No.(s)
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Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

03290844.4

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

R C van Dijk

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Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.
If no title is shown please refer to the description.
Si aucun titre n'est indiqué se référer à la description.)

Method for efficient equalization in a telecommunication system including at
least one mobile transceiver

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The present invention relates to a method for transmitting data in a telecommunication system including at least a first and a second transceiver linked together by means of at least one communication channel, at least one of which transceivers being mobile, which method includes the following steps:

- 5 . a spreading step for spreading said data over a plurality of components, and
- . an equalization step in the course of which each of the components resulting from the spreading step is multiplied by a predetermined equalization value representative of communication conditions within the communication channel.

Such a method has been described in an IEEE publication entitled "SINR-Based
10 Channel Pre-equalization for Uplink Multi-Carrier CDMA systems", published on September 2002 and authored by Mm. David Mottier and Damien Castelain. According to this publication, the equalization step is intended to be carried out by a transmitting transceiver in order to apply a pre-distortion to signals to be transmitted by said transmitting transceiver, so that distortions generated by the communication
15 channel will be compensated for beforehand by said pre-distortion.

The pre-distortion described in this publication is to be achieved by multiplying each of the components resulting from the spreading step by an equalization value computed on the basis of prior knowledge of communication conditions within the communication channel.

20 Such prior knowledge may result from an analysis of an incoming signal previously received by said transceiver, whose alterations will be observed by this transceiver and will enable to modelize the communication conditions within the communication channel. The resulting model is usually expressed in the form of a plurality of channel coefficients, each of which being dedicated to a given component
25 of the spread signal, and being used for computing the equalization value to be applied to said component.

A problem arises, however, when said transceiver is subject to movement with such a velocity that the model of the communication channel generated on the basis of a previous incoming signal as described above may become at least partially obsolete,

so that the compensation which should be achieved through the equalization step on the basis of previously computed equalization values may be inaccurate or inefficient.

In particular, some components may be subjected to what will actually turn out to be an overcompensation, while the effect of the communication channel on other components will be insufficiently compensated for and will thus leave these other components significantly distorted and/or able to distort other components. Furthermore, since applying a compensation is power-consuming, an overcompensation will constitute a waste of energy, which energy is often in limited supply since it is usually stored in a battery attached to the mobile transceiver.

10 The known method may thus prove to be underperforming, both in terms of communication quality and in terms of energy consumption.

The present invention aims at solving the aforementioned problems, by providing a method for transmitting data in which a possible obsolescence of equalization values due to movement of a mobile transceiver is recognized and compensated for.

15 Indeed, a method as described in the opening paragraph is characterized according to a first, software-related aspect of the present invention, in that at least one predetermined equalization value is also representative of a Doppler effect resulting from a movement of the mobile transceiver and adversely affecting the communication conditions within the communication channel.

20 The invention enables to take into account alterations caused to the communication channel by movement of the transceiver by integrating into the relevant equalization value or values a quantification of the Doppler effect caused by said movement. Such a provision allows for a dynamic tuning of said relevant equalization value or values with respect to the movement of the transceiver, and reduces the risk for said equalization value or values to become obsolete, which in turn enhances the performance, both in terms of communication quality and in terms of energy consumption, of the compensation achieved by means of the equalization step.

According to a specific embodiment of this first aspect of the invention, in which each predetermined equalization value includes a parameter representative of a noise level in said communication channel, said predetermined equalization value will further include an additionnal noise parameter representative of said Doppler effect.

5 As will be more thoroughly described hereinafter, this specific embodiment enables to use an already existing computing scheme for providing compensation of the Doppler effect, which will limit the increase in computing power required for implementing the present invention.

10 According to a first variant of this specific embodiment, in which the communication conditions within the communication channel are modeled by means of a study of the effects of said conditions on at least one incoming signal previously received by the mobile transceiver through said communication channel, the additionnal noise parameter representative of said Doppler effect will feature a variance intended to increase with an amount of time elapsed since said incoming
15 signal has been received by the mobile transceiver.

An incoming signal such as that described hereinbefore may not be known by the transceiver beforehand, in which case said transceiver will perform a so-called blind estimation of the communication channel. The incoming signal will preferably consist in a pilot signal whose value will be known beforehand by the transceiver,
20 which will enable it to perform a so-called pilot-based channel estimation, which yields more accurate results than a blind estimation.

The above described first variant takes into account the age of the model of the communication channel which has been generated on the basis of a previously received incoming signal, and provides increasing compensation as the age of the
25 model increases.

This first variant thus provides a highly satisfying accuracy, which is however obtained at the cost of a high computing power required for updating the value of the additionnal noise parameter representative of the Doppler effect.

30 According to a second variant of the above-described specific embodiment, the additionnal noise parameter representative of said Doppler effect will feature a

constant variance whose value has been averaged over a time interval between two successive incoming signals such as those described above.

This second variant, though slightly less accurate than the first one described above, will nevertheless enable an adequate compensation of the Doppler effect while
5 requiring less computing power, i.e. only the computing power necessary for computing a single average value for the variance of the additional noise parameter for each time interval between two successive incoming signals.

As explained above, the equalization step included in the method according to the invention may be pre-emptively carried out by a mobile transceiver on
10 components of a signal intended to be transmitted by said mobile transceiver.

Alternatively or cumulatively, such an equalization step may also be carried out by a mobile transceiver on components of a signal received by said mobile transceiver, for example from a radio base station, in order to compensate for distortion actually generated by the communication channel through which said signal
15 has been received.

According to a second, hardware-related aspect, the present invention also relates to a telecommunication system including at least a first and a second transceiver linked together by means of at least one communication channel, at least one of which transceivers being mobile, which system includes:

- 20 . spreading means for spreading data to be transmitted through said communication channel over a plurality of components, and
- . equalization means intended to multiply each of the components outputted by the spreading means by a predetermined equalization value representative of communication conditions within the communication channel,
- 25 telecommunication system characterized in that at least one predetermined equalization value is also representative of a Doppler effect resulting from a movement of the mobile transceiver and adversely affecting the communication conditions within the communication channel.

In such a telecommunication system, equalization means may be arranged
30 upstream of a transmitting stage in a mobile transceiver, and intended to pre-

emptively process components of a signal to be transmitted by said transmitting stage. Alternatively or cumulatively, such equalization means may be arranged downstream of a receiving stage in a mobile transceiver, and intended to process components of a signal received by said receiving stage.

5 The invention thus also relates to a mobile transceiver, in which equalization means such as those described above are arranged upstream of a transmitting stage, and intended to process components of a signal to be transmitted by said transmitting stage.

10 The invention further relates to a mobile transceiver, in which equalization means such as those described above are arranged downstream of a receiving stage, and intended to process components of a signal received by said receiving stage.

The invention also relates to a radio signal transmitted through a communication channel by means of a telecommunication system or by use of a method as described above.

15 The characteristics of the invention described above, as well as others, will emerge more clearly from a reading of the following description given in relation to the accompanying figures, amongst which:

Fig.1 is a block diagram, which schematically depicts a telecommunication system according to the present invention;

20 Fig.2 is a set of chronograms, which schematically depict a data signal which may be transmitted by means of such a system, as well as pilot signals; and

Fig.3 is a block diagram, which schematically depicts a mobile transceiver according to a possible embodiment of the invention.

25 Fig.1 schematically depicts a telecommunication system SYST including a first transceiver, for example a mobile radio terminal, and a second transceiver RX, for example a radio base station or another mobile radio terminal. The first and second transceivers TXi and RX are linked together by means of a communication channel Chi, through which the first transceiver TXi is intended to transmit a radio signal Sgi to the second transceiver RX.

In this embodiment of the invention, the telecommunication system SYST includes, located within the first transceiver TXi:

- . spreading means DPLCT for spreading a stream of data D_i to be transmitted through said communication channel Chi over a plurality of components,
- 5 . encoding means $MC1...MCm...MCM$ intended to multiply each of said components by a coded value $Ci(1)...Ci(m)...Ci(M)$ forming part of a predetermined code word, for example a Walsh code word, and
- . equalization means $MW1...MWm...MWM$ intended to multiply each of the components C_{tj} (for $j=1$ to M) outputted by the frequency spreading means
- 10 $MC1...MCm...MCM$ by a predetermined equalization value $Wi(j)^*$ (for $j=1$ to M) representative of communication conditions within the communication channel Chi , where X^* designates the complex conjugate of X .

The first transceiver TXi further includes a signal processing and transmitting stage TRS intended to recombine all components outputted by the equalization means

15 $MW1...MWm...MWM$ and to transmit them in the form of a resulting signal S_{gi} towards the second transceiver RX.

The second transceiver RX includes a signal receiving and processing stage RCS able to receive signals $S_{g1}...S_{gK}$ from K different transceivers, among which the signal S_{gi} transmitted by the first transceiver TXi. The signal receiving and

20 processing stage RCS is further able to differentiate each incoming signal S_{gk} (for $k=1$ to K) from all other received signals, and to split each differentiated signal into M components C_{rj} (for $j=1$ to M), each of which being intended to be multiplied by a coded value $Ci(j)^*$ by means of a multiplier $IMCj$ (for $j=1$ to M). The resulting components are then recombined by combination means CMB into a single data

25 stream E_{di} constituted of estimates of the data D_i originally transmitted by transceiver TXi.

In this embodiment of the invention, the equalization means $MW1...MWm...MWM$ included in the first transceiver TXi apply a pre-distortion to components of the signal S_{gi} to be transmitted by the first transceiver TXi, so that

distortions generated by the communication channel Chi are significantly reduced beforehand by said pre-distortion.

To this effect, suitable equalization values will be computed by computing means not shown in this Figure, for example according to a so-called SINR technique disclosed in the above-mentioned publication authored by the inventors, and intended to maximize a signal-to-noise and interference ratio associated with the transmission through communication channel Chi.

According to this SINR technique, each equalization value Wi(j) may be expressed as:

$$Wi(j) = \frac{\mu hi(j)}{(K-1)|hi(j)|^2 + M\sigma^2}$$

where hi(j) is a coefficient representative of communication conditions within the communication channel computed on the basis of a study of a pilot signal previously received by the first transceiver TXi, where σ^2 represents a noise variance according to an Additive White Gaussian Noise (AWGN) model, and where μ is chosen such that:

$$\sum_{j=1}^M |Wi(j)|^2 = M$$

Other techniques may be used for computing the equalization values Wi(j), like a so-called MMSE technique aiming at reducing a minimum mean square error between the estimated data Edi and the original data Di, according to which MMSE technique each equalization value Wi(j) may be expressed as:

$$Wi(j) = \frac{\mu hi(j)}{K|hi(j)|^2 + M\sigma^2}$$

According to the present invention, the predetermined equalization values Wi(j) will also be representative of a Doppler effect resulting from a movement of the mobile transceiver TXi and adversely affecting the communication conditions within the communication channel Chi.

To this end, in the example depicted here, the predetermined equalization values $Wi(j)$ further include an additionnal noise parameter σ_d^2 representative of said Doppler effect.

In this embodiment of the invention, equalization values $Wi(j)$ formerly
5 computed by using the SINR technique may thus be expressed as:

$$Wi(j) = \frac{\mu \cdot hi(j)}{(K-1) \cdot (|hi(j)|^2 + \frac{\sigma_d^2}{2}) + M \cdot \sigma^2}$$

while equalization values $Wi(j)$ formerly computed by using the MMSE technique may be expressed as:

$$Wi(j) = \frac{\mu \cdot hi(j)}{K \cdot (|hi(j)|^2 + \frac{\sigma_d^2}{2}) + M \cdot \sigma^2}$$

10 In both expressions described above, the term $\sigma_d^2/2$ representative of the Doppler effect results from a second-order approximation of a Bessel function of the first kind of order 0, further referred to as J_0 , and applied to σ_d , according to which approximation $J_0^2(\sigma_d) \sim 1 - \sigma_d^2/2$. In other embodiments of the invention, this Bessel function J_0 may be approximated to orders higher than 2, which will introduce further
15 even powers of σ_d in the above expressions.

The above described embodiments of the invention enable to adapt already existing computing algorithms, for example those currently used for implementing the SINR or MMSE techniques, for providing compensation of the Doppler effect, which will limit the increase in computing power required for implementing the present
20 invention.

Fig.2 is a set of chronograms depicting a data signal Dsi which may be transmitted by the above described first transceiver TXi , as well as a signal Rsi which may simultaneously be received by said first transceiver TXi . A first incoming pilot symbol $PS1$ is thus received before a stream of data symbols $dS1 \dots dSN$ is produced
25 for transmission purposes, each data symbol having a time duration equal to T , a guard interval dTn being inserted before each data symbol dSn (for $n=1$ to N) in order to absorb interference generated by the previous data symbol $dSn-1$, as required for

example by OFDM modulation standards. The data stream is followed by a second pilot symbol PS2. The incoming pilot symbols are separated from the stream of data symbols $dS1...dSN$ to be transmitted by predefined time skews Tsk usually inserted between downlink and uplink signals.

- 5 An analysis of the first incoming pilot symbol PS1 will enable the first transceiver TXi to compute a first set of channel coefficients $hi1$ modeling the communication channel, which first set of channel coefficients $hi1$ will be used to compute equalization values such as those described above. This first set of channel coefficients $hi1$ will become more and more obsolete as time passes, so that the
- 10 additionnal noise parameter σ_d^2 representative of said Doppler effect will advantageously feature a variance intended to increase with an amount of time elapsed since the last pilot signal, in this example PS1, has been received by the first transceiver.

To this end, the additionnal noise parameter σ_d^2 may be defined as being equal

15 to $[2\pi.Fd.(Tsk + n.(T+dT))]^2$, where T is the time duration of a single data symbol dSn (for $n=1$ to N) and dT the time duration of a single associated guard interval dSn , Fd representing the Doppler frequency associated to the speed of the mobile transceiver.

In this first variant of the invention, the above definition of the additionnal noise parameter σ_d^2 will enable continuous updates of the equalization values Wi , which

20 will in turn significantly reduce obsolescence of said equalization values and essentially preserve adequate compensation of alterations caused by the communication channel on signals passing through said channel.

Such continuous updates, however, require important computing power which may prematurely drain a battery supplying the energy needed by the transceiver for its

25 operation. According to a second variant of the invention, the additionnal noise parameter σ_d^2 will feature a constant variance whose value will be averaged over a time interval between two successive pilot signals. In such a second variant, the additionnal noise parameter σ_d^2 may be defined as:

$$\sigma_d^2 = \frac{1}{N} \sum_{n=1}^N (2\pi.Fd.(Tsk + n.(T + dT)))^2$$

This second variant of the invention, though slightly less accurate than the first one described above, will nevertheless enable an adequate compensation of the Doppler effect while requiring less computing power, i.e. only the computing power necessary for computing a single average value for the variance of the additional noise parameter σ_d^2 for each time interval $N(T+dT)+2.T_{sk}$ between two successive pilot signals, for example PS1 and PS2.

As explained above, the equalization step included in the method according to the invention may be pre-emptively carried out by a mobile transceiver on components of a signal intended to be transmitted by said mobile transceiver.

Fig.3 depicts another mobile transceiver RXm which may, alternatively or cumulatively, carry out such an equalization step on components Cr_j (for $j=1$ to M) of a signal S_{gi} received by said mobile transceiver RXm, for example from a radio base station. Such a received signal may contain both data symbols and pilot symbols and may for example be construed as a superimposition of the signals D_{si} and R_{si} described above, in which the time skews T_{sk} will have disappeared, since all symbols are received through a same downlink channel. Additional guard intervals preceding the pilot symbols may have been inserted in the received signal S_{gi} .

In order to compensate for distortion actually generated by the communication channel through which said signal S_{gi} has been received, a mobile transceiver RXm similar to the second transceiver RX described in Fig.1 further includes equalization means $MG1...MGm...MGM$ arranged downstream of the receiving stage RCS and intended to multiply each of the components Cr_j (for $j=1$ to M) outputted by the multipliers IMC_j (for $j=1$ to M) by a predetermined equalization value $Gi(j)^*$ (for $j=1$ to M) representative of communication conditions within the communication channel Chi , on the one hand, and of the Doppler effect resulting from a movement of the mobile transceiver RXm and adversely affecting said communication conditions, on the other hand.

As explained above, such equalization values $Gi(j)^*$ may be computed by using the SINR technique and then be expressed as:

$$Gi(j)^* = \frac{\mu hi(j)}{(K-1) \cdot (|hi(j)|^2 + \frac{\sigma_d^2}{2}) + M \cdot \sigma^2}$$

while equalization values $Gi(j)^*$ computed by using the MMSE technique may be expressed as:

$$Gi(j)^* = \frac{\mu hi(j)}{K \cdot (|hi(j)|^2 + \frac{\sigma_d^2}{2}) + M \cdot \sigma^2}$$

5 where μ and σ_d^2 may be computed as described hereinbefore.

CLAIMS

1) A method for transmitting data in a telecommunication system including at least a first and a second transceiver linked together by means of at least one communication channel, at least one of which transceivers being mobile, which method includes the following steps:

- 5 . a spreading step for spreading said data over a plurality of components, and
 . an equalization step in the course of which each of the components resulting from the spreading step is multiplied by a predetermined equalization value representative of communication conditions within the communication channel,
 method characterized in that at least one predetermined equalization value is
10 also representative of a Doppler effect resulting from a movement of the mobile transceiver and adversely affecting the communication conditions within the communication channel.

- 2) A method as claimed in claim 1, in which, each predetermined equalization value including a parameter representative of a noise level in said communication
15 channel, said predetermined equalization value further includes an additionnal noise parameter representative of said Doppler effect.

- 3) A method as claimed in claim 2, in which, the communication conditions within the communication channel being modeled by means of a study of the effects of said conditions on at least one incoming signal previously received by the mobile
20 transceiver through said communication channel, the additionnal noise parameter representative of said Doppler effect features a variance intended to increase with an amount of time elapsed since said incoming signal has been received by the mobile transceiver.

- 4) A method as claimed in claim 2, in which, the communication conditions
25 within the communication channel being modeled by means of a study of the effects of said conditions on at least one incoming signal previously received by the mobile transceiver through said communication channel, the additionnal noise parameter

representative of said Doppler effect features a constant variance whose value has been averaged over a time interval between two successive incoming signals.

5 5) A method as claimed in any one of claims 1 to 4, in which the equalization step is intended to be carried out by the mobile transceiver on components of a signal intended to be transmitted by said mobile transceiver.

6) A method as claimed in any one of claims 1 to 4, in which the equalization step is intended to be carried out by the mobile transceiver on components of a signal received by said mobile transceiver.

10 7) A telecommunication system including at least a first and a second transceiver linked together by means of at least one communication channel, at least one of which transceivers being mobile, which system includes:

15 . spreading means for spreading data to be transmitted through said communication channel over a plurality of components, and
. equalization means intended to multiply each of the components outputted by the spreading means by a predetermined equalization value representative of communication conditions within the communication channel,

20 telecommunication system characterized in that at least one predetermined equalization value is also representative of a Doppler effect resulting from a movement of the mobile transceiver and adversely affecting the communication conditions within the communication channel.

8) A telecommunication system as claimed in claim 7, in which, each predetermined equalization value including a parameter representative of a noise level in said communication channel, said predetermined equalization value further includes an additionnal noise parameter representative of said Doppler effect.

25 9) A telecommunication system as claimed in claim 8, in which, the communication conditions within the communication channel being modeled by means of a study of the effects of said conditions on at least one incoming signal previously received by the mobile transceiver through said communication channel, the additionnal noise parameter representative of said Doppler effect features a

variance intended to increase with an amount of time elapsed since said incoming signal has been received by the mobile transceiver.

10) A telecommunication system as claimed in claim 8, in which, the communication conditions within the communication channel being modeled by
5 means of a study of the effects of said conditions on at least one incoming signal previously received by the mobile transceiver through said communication channel, the additional noise parameter representative of said Doppler effect features a constant variance whose value has been averaged over a time interval between two successive incoming signals.

10 11) A mobile transceiver to be included in a telecommunication system as claimed in any one of claims 7 to 10, in which mobile transceiver the equalization means are arranged upstream of a transmitting stage, and intended to process components of a signal to be transmitted by said transmitting stage.

15 12) A mobile transceiver to be included in a telecommunication system as claimed in any one of claims 7 to 10, in which mobile transceiver the equalization means are arranged downstream of a receiving stage, and intended to process components of a signal received by said receiving stage.

20 13) A radio signal transmitted through a communication channel by means of a telecommunication system as claimed in any one of claims 7 to 10 or by use of a method as claimed in any one of claims 1 to 6.

ABSTRACT

"Method for efficient equalization in a telecommunication system including at least one mobile transceiver"

The present invention relates to a method for transmitting data D_i in a telecommunication system SYST including a first transceiver TX_i and a second transceiver RX linked together by means of a communication channel Chi , one of which transceivers being mobile, which method includes:

- . a spreading step for spreading said data D_i over a plurality of components C_{tj} (for $j=1$ to M), and
- . an equalization step during which each component C_{tj} (for $j=1$ to M) is multiplied by an equalization value $Wi(j)^*$ representative of communication conditions within the communication channel Chi .

According to the invention, the equalization values $Wi(j)^*$ are also representative of a Doppler effect generated by movement of the mobile transceiver.

The invention enables to significantly compensate for alterations caused to the communication channel Chi by movement of the mobile transceiver.

Fig.1

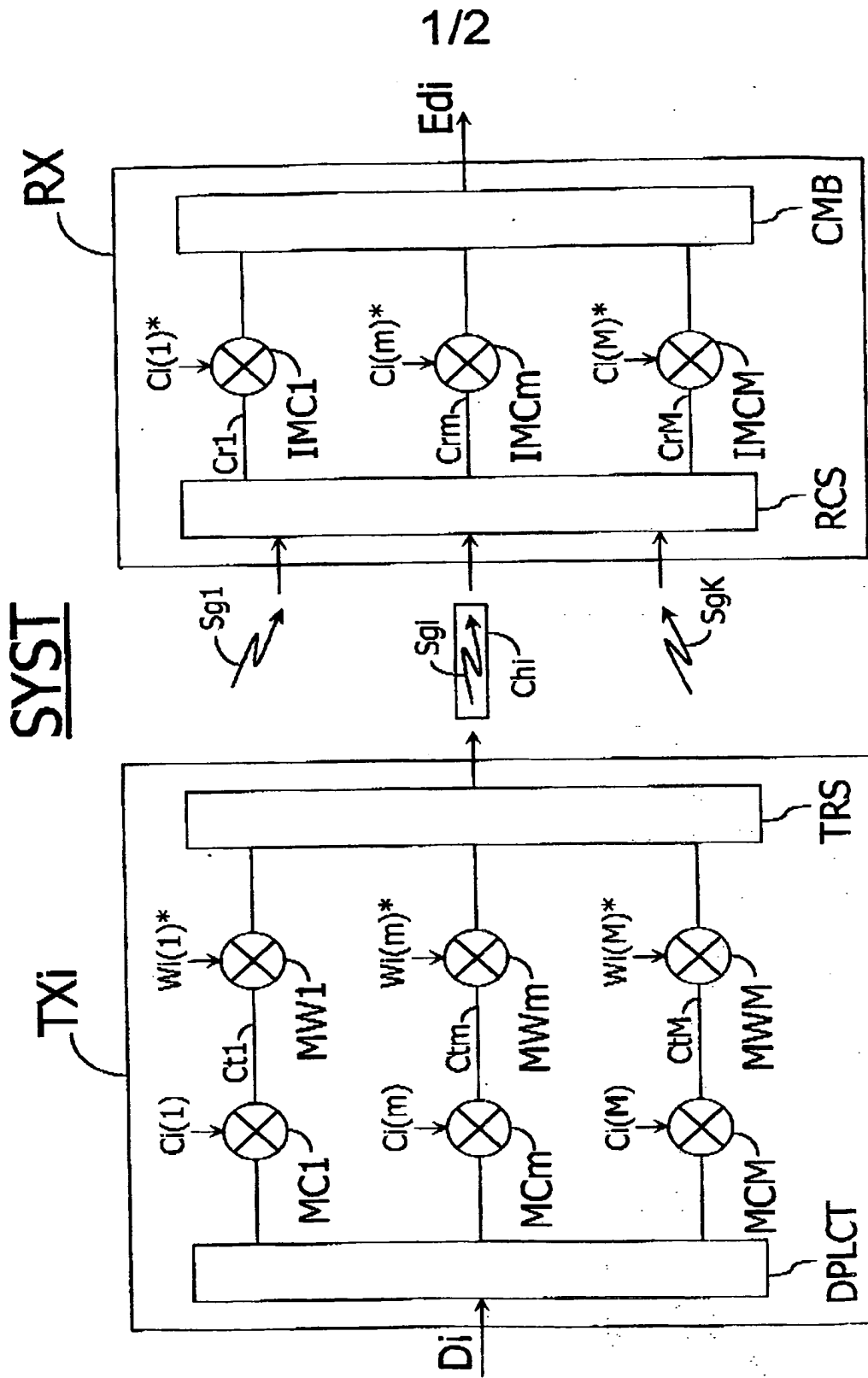
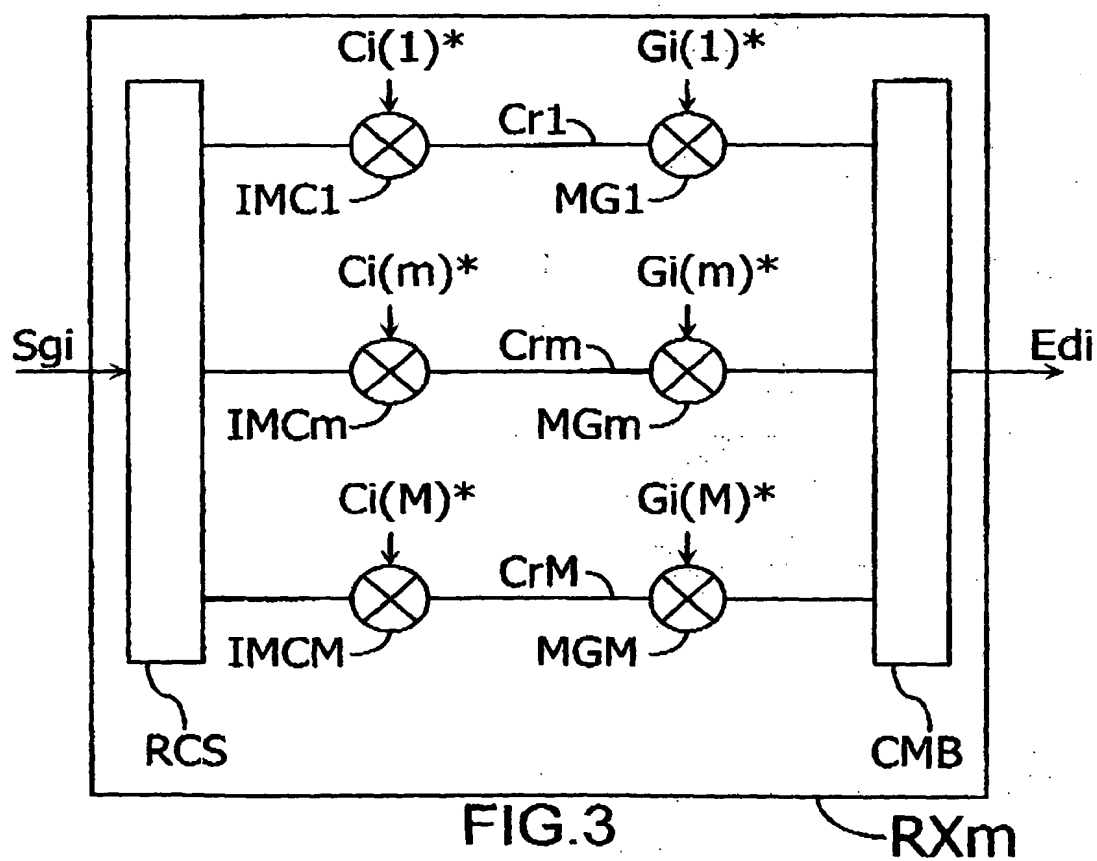
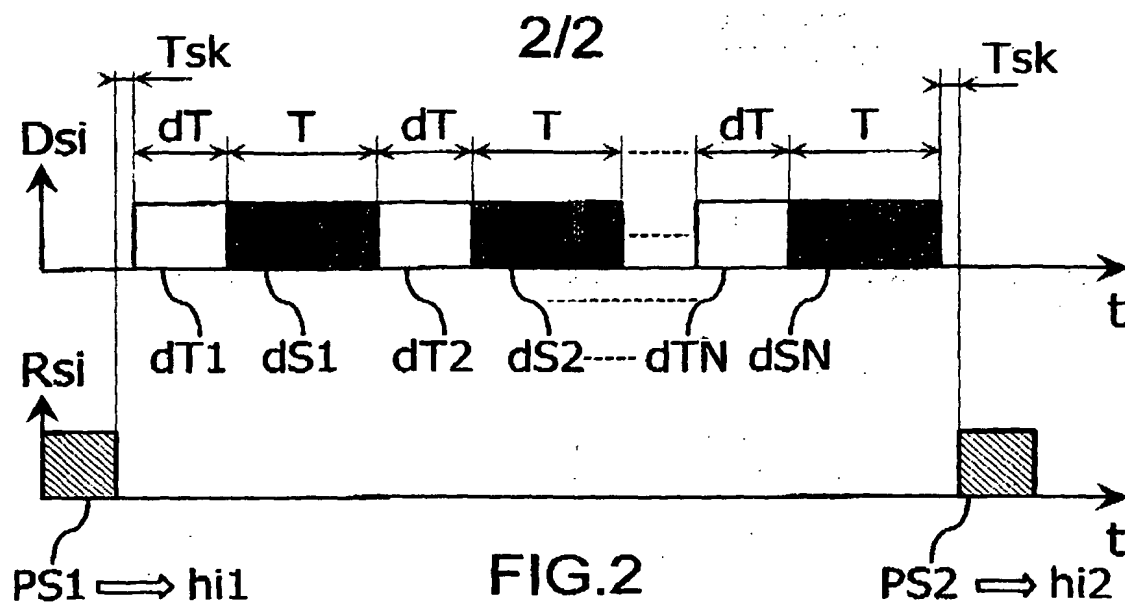


FIG.1



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